Ingestive verbs, causatives, and object symmetry in Lubukusu

1 Introduction

The question of object symmetry compares the grammatical function of two objects in a ditransitive predicate. Of particular interest has been derived ditransitives where a valency-changing morpheme increases the valency of a transitive verb. The central question is whether an object licensed by the base verb and an object licensed by e.g. an applicative morpheme have the same grammatical function. Several aspects of grammar have been proposed to account for object (a)symmetries, such as syntactic differences, especially the height of argument licensing heads (Baker 1988, Ngonyani 1996, McGinnis 2001, Harley 2002, McGinnis & Gerdts 2003, Jeong 2007), differences in thematic role (Bresnan & Moshi 1990, Alsina & Mchombo 1993), and the animacy and person features of the two objects (Morolong & Hyman 1972, Aranovich 2009, Baker et al. 2012). In this paper, I make the novel claim that verb meaning is an additional component in determining symmetry. I present a case study from Lubukusu (Bantu; Kenya) where the general pattern with morphological causatives is asymmetry, but caused ingestive verbs are symmetrical.

I sketch a brief analysis of these facts by building on the observation that ingestive verbs in many languages behave distinctly under causativization (Masica 1976, Amberber 2002, Næss 2007, 2009, Krejci 2012). I propose that the symmetry of caused ingestives in Lubukusu follows from a principled difference in the lexical semantics of these verbs; adopting an approach from Krejci (2012), I analyze ingestive verbs as inherent reflexive events wherein an agent causes him- or herself to digest something. With caused ingestives, there is a delinking of the reflexive relationship between the causer and the ingester, and thus caused ingestives already have a causal relationship in their event structure. This contrasts with other verbs for which causativization adds a wholesale new causal subevent. It is this difference in the lexical semantic nature of the verb that provides a starting point
for explaining the divergent behavior of caused ingestive verbs with respect to object symmetry.

2 The Morphological Causative and Object Symmetry

Lubukusu is a Bantu language spoken in western Kenya (Mutonyi 2000, Wasike 2007, Sikuku 2011). Causatives in Lubukusu are marked by the morpheme –esy (or the allomorph –isy, determined by vowel harmony), and with many verbs the objects are asymmetrical. For example, with the verb *khu-pa* ‘to hit’ in (1), the causee object can be the subject of the passive in (2a), but the verbal object cannot be the subject of the passive in (2b).²

(1)  O-mw-ekesi ∅-a-p-isy-a o-mu-khangarani li-sisi.  
1-1-teacher 1.SBJ-PST-hit-CAUS-FV 1-1-warrior 5-wall  
‘The teacher made the warrior hit the wall.’

(2)  a. O-mu-khangarani ∅-a-p-isy-ibw-a li-sisi ne o-mw-ekesi.  
1-1-warrior 1.SBJ-PST-hit-CAUS-PASS-FV 5-wall by 1-1-teacher  
‘The warrior was made to hit the wall by the teacher.’

b. Li-sisi ly-a-p-isy-ibw-a omu-khangarani ne o-mw-ekesi.  
5-wall 5.SBJ-PST-hit-CAUS-PASS-FV 1-1-warrior by 1-teacher  
‘The wall was made to be hit by the warrior by the teacher.’


With the ingestive verbs *khu-nywa* ‘to drink’ and *khu-lia* ‘to eat’, on the other hand,
both objects can appear as the subject of the passive, as shown in (4) for the verb *khu-nywa* ‘to drink’ and in (5) for *khu-lia* ‘to eat’.3

(3)  
Wafula ∅-a-nyw-esy-a  
Wafula 1.SBJ-PST-drink-CAUS-FV Wekesa 6-6-beer  
‘Wafula made Wekesa drink the beer.’

(4)  
   a.  Wekesa ∅-a-nyw-esy-ebw-a  
        ka-ma-lwa ne Wafula.  
   Wekesa 1.SBJ-PST-drink-CAUS-PASS-IMP 6-6-beer  by Wafula  
   ‘Wekesa was made to drink the beer by Wafula.’

   b.  Ka-ma-lwa k-a-nyw-esy-ebw-a  
        Wekesa ne Wafula.  
   6-6-beer  6.SBJ-PST-drink-CAUS-PASS-IMP Wekesa by Wafula  
   ‘The beer was made to be drunk by Wekesa by Wafula.’

(5)  
Wafula ∅-a-lis-isy-e  
o-mw-ana ku-mu-chele.  
Wafula 1.SBJ-PST-eat-CAUS-FV 1-1-child 3-3-rice  
‘Wafula fed the child rice.’

(6)  
   a.  O-mw-ana ∅-a-l-isy-ibw-e  
        ku-mu-chele.  
   1-1-child  1.SBJ-PST-eat-CAUS-PASS-FV 3-3-rice  
   ‘The child was fed rice.’

   b.  Ku-mu-chele ku-∅-l-isy-ibw-e  
        o-mw-ana.  
   3-3-rice  3S-PST-eat-CAUS-PST-FV 1-1-child  
   ‘The rice was fed to the child.’

The difference in symmetry between ingestive verbs and other transitive verbs is evidence that verb meaning plays a role in the argument realization facts of object symmetry — a heretofore unnoticeable fact in the literature. The question that arises, then, is why this particular class of verbs behaves differently with respect to object symmetry, and I outline a preliminary account based around the lexical semantics of ingestive verbs, which in many languages behave differently with respect to causativization.4
3 Ingestive Verbs Cross-Linguistically

Several unrelated languages treat ingestive verbs distinctly from other syntactically transitive verbs, e.g. Malayalam (Mohanan 1983:105-106), Berber (Guerssel 1986:36ff), Tariana (Aikhenvald 2000), Jarawara (Dixon 2000), Cora (Vasquez Soto 2002), and Hindi (Masica 1976:46), among others (see also Nedjalkov & Silnitsky 1973, Shibatani 2002, and Shibatani & Pardeshi 2002). Consider an example from Amharic (Semitic; Ethiopia), which has two distinct causative morphemes: a– and as– (Amberber 2000, 2002). The causative a– is reserved for intransitives, as in (7) where the prefix cannot appear with the transitive verb k’om ‘cut’.

(7) a. k’om ‘stand (intr)’ → a-k’om ‘stand (tr)’
   b. k’aarrat’ə ‘cut’ → *a-k’aarrat’ə

(Amharic; Amberber 2002:2,(2))

The prefix as– on the other hand, appears with both transitives and intransitives:

(8) a. mə’t’a ‘come’ → as-mə’t’a ‘make x come’
   b. k’aarrat’ə ‘cut’ → as-k’aarrat’ə ‘make x cut y’

(Amharic; Amberber 2002,2,(3))

Given that the verb balla ‘eat’ is syntactically transitive, it is not expected to appear with the prefix a–, but this morpheme can, in fact, be used with balla ‘to eat’, as in (9).

(9) Aster lemma-m dabbo a-balla-cč-iw.
    Aster Lemma-ACC bread CAUS-eat-PF-3F-3MO
    ‘Aster fed Lemma some bread.’

(Amharic; Amberber 2002:3,(5))

This pattern is found with other predicates describing both literal and figurative ingestion, such as: lasə ‘lick’, t’aba ‘suck’, k’ammaasə ‘taste’, lak’k’ama ‘pick up’, t’aarrasə ‘take a
mouthful’, and *gat’* ‘graze’ (Amberber 2002:3). In sum, ingestive verbs pattern distinctly from other transitive verbs despite their syntactic transitivity.

To capture the divergent syntax of ingestive verbs, Krejci (2012) makes the case that ingestive verbs are semantically reflexive, drawing on both cross-linguistic and lexical semantic evidence. First, ingestive verbs in some languages, such as the Bolivian language Movima (Haude 2006), pattern with inherent reflexives in appearing with middle morphology. Further, Krejci shows that while the default in many languages is for inherent reflexive verbs (e.g. *to wash*) to be derived from causatives via reflexivization (such as Spanish *lavarse* ‘to wash’ (intr.) from *lavar* ‘to wash’ (tr.)), in other languages, inherent reflexives are the base form that causatives are derived from (such as Hindi causative *uṭh-aa* ‘to raise’ from the intransitive *uṭh* ‘to rise’; Masica 1976:319). For these latter languages, causative morphology does not add a new periphrastic causative subevent to the verb, but rather delinks the reflexive nature of the base verb. Krejci shows that cross-linguistically, ingestive verbs often pattern with inherent reflexives in the directionality of marking with respect to causatives. Finally, Krejci looks at lexical entailments of ingestive verbs in English and Marathi and concludes that there is a bieventive, reflexive meaning in the event structure of ingestive verbs (cf. Amberber 2002 and Jackendoff 1990:53-55,253). In other words, the single subject of *eat* has various lexical entailments (e.g. the manipulation of food and the chewing of the food) that are split across two arguments in the predicate feed.

4 Towards an Analysis of Lubukusu Object Symmetry

I extend Krejci’s analysis of ingestive verbs to Lubukusu in order to serve as a starting point for understanding their distinct pattern with object symmetry. Specifically, caused ingestives retain the ditransitive event structure of the non-caused verb, which is distinct from the causatives of most verbs where the causal morpheme is a productive operator.
which adds a new causal subevent to the event structure of the verb. I outline this account using a typed lambda calculus in which hierarchical relationships of the verb’s meaning are defined via lexical entailments of the verb (adopting the formal approach in Jerro to appear). I assume a domain of discourse $U$ that consists of two major sorts: the subset $U_I$ of individuals and $U_E$ of eventualities. Variables in the set $U_I$ are $x$, $y$, and $z$. The variables $v$, $s$, and $e$ represent events.\(^5\) The event variable $e$ is a complex event that is the summation of all subevents of the predicate, and each subevent is causally linked to the other subevents in $e$. Finally, subevents within $e$ are causally ordered with respect to one another as specified by the lexical entailments of the verb. These constraints are indicated by the relations $\text{init}'$ and $\text{fin}'$, which state that a particular named event must be initial or final in the event $e$, respectively. Consider the denotation of $\text{khu-lia ‘to eat’}$ in (10), adapted from Krejci 2012 (2012:42,(37a)).\(^6\)

\[(10) \quad \llbracket \text{khu-lia} \rrbracket := \lambda x \lambda y \lambda s \lambda v \lambda e [\text{ag}'(v, y) \land \text{th}'(v, x) \land \text{ag}'(s, y) \land \text{th}'(s, x) \land s \subset e \land \\
v \subset e \land \text{manipulating.food}'(v) \land \text{fin}'(s, e) \land \diamond \text{digesting}'(s)]\]

In (10) there are two subevents: a causing event $v$ of manipulating food and a caused change-of-state $s$ of prospective digestion. Crucially, the agent of the causing event (the manipulator of the food) and the agent of the change of state (the ingester) are the same individual — hence the reflexive nature of these verbs.\(^7\) The change-of-state $s$ must be final in the causal chain, as specified by $\text{fin}'(s, e)$. Consider the sentence in (11a) and its semantics in (11b).

\[(11) \quad \text{a. Wafula 0-a-li-le ku-mu-chele.}
\quad \text{Wafula 1.SBJ-PST-eat-FV 3-3-rice}
\quad \text{‘Wafula ate the rice.’}
\]

\[\quad \exists s \exists v \exists e [\text{ag}'(v, \text{wafula}') \land \text{th}'(v, \text{rice}') \land \text{ag}'(s, \text{wafula}') \land \text{th}'(s, \text{rice}') \land \\
\quad s \subset e \land v \subset e \land \text{manipulating.food}'(v) \land \text{fin}'(s, e) \land \diamond \text{digesting}'(s)]\]
This sentence means that Wafula acted to manipulate food, and as a result of this action, he (potentially) digests the rice.

The analysis of *khu-lia* ‘eat’ as a bieventive, causative verb predicts that it should be possible to separate the causing event from the result state (compared with non-causative, monoeventive verbs such as *khu-chekha* ‘to laugh’ where such a division is not possible). A classic diagnostic for this is ambiguity under *again*-modification (Morgan 1969, McCawley 1973:342-343, Dowty 1979:250ff, Beck & Johnson 2004:106ff, Krejci 2012:61-71). A bieventive predicate should be ambiguous between two possible readings: a restitutive reading where *again* has scope over just the result and a repetitive reading where *again* has scope over the entire event.\(^8\)

(12)  [Context: The rice is cursed so that it regenerates after having been eaten.]

\[
\begin{array}{ll}
\text{Wekesa} & \emptyset-a-l\text{-ile} \quad \text{ku-mu-chele lundi.} \\
\text{Wekesa} & 1.\text{SBJ-PST-eat-FV 3-3-rice again}
\end{array}
\]

‘Wekesa ate the rice again.’

The sentence in (12) has both readings. On the repetitive reading *lundi* ‘again’ takes scope over the entire event (i.e. Wekesa performing the entire eating event again). Crucially, there is also the restitutive reading: Wekesa did not eat the rice the first time (e.g. Wekesa’s friend Wafula ate the rice and then the rice regenerated to its original state), and then Wekesa ate it a second time. The ambiguity in scope over the subevents supports the analysis of *khu-lia* ‘to eat’ as having a bieventive, causal structure. By means of comparison, consider the monoeventive verb *khu-chekha* ‘to laugh’ in (13).

(13)  \[
\begin{array}{ll}
\text{Wekesa} & \emptyset-a\text{-chekh-ele} \quad \text{lundi.} \\
\text{Wekesa} & 1.\text{SBJ-PST-laugh-FV again}
\end{array}
\]

‘Wekesa laughed again.’

In (13), there is only the repetitive reading: Wekesa laughed before and then laughed again.

(14) \textit{Wekesa} ∅-\textit{a-l-ile} \textit{omwene}.  
\textit{Wekesa} 1.SBJ-PST-eat-FV by.himself  
‘Wekesa ate by himself (i.e. Wekesa ate without external help)’

(15) \#\textit{Wekesa} ∅-\textit{a-chekh-ele} \textit{omwene}.  
\textit{Wekesa} 1.SBJ-PST-laugh-FV by.himself  
‘Wekesa laughed by himself.’

The infelicity of \textit{omwene} with the monoeventive verb \textit{khu-chekha} ‘to laugh’ in (15) is evidence that the modifier indeed probes causation. From these diagnostics, I conclude that ingestive verbs in Lubukusu have a bieventive, causative semantics, as described by the denotation of \textit{khu-lia} ‘to eat’ in (10).

Returning to caused ingествives, let us consider the causative \textit{khu-l-isy-a} ‘to feed’. Following Krejci’s analysis of anti-reflexivization, there are crucially no additional subevents added to the event, but instead, the causer and ingester (which are the same individual for \textit{khu-lia} ‘to eat’) are two distinct individuals in the causative in (16).\(^{10}\)

(16) \[\text{[khulisya]} := λyλxλzλsλvλe[ag'(v, z) \land th'(v, x) \land ag'(s, y) \land \text{th'}(s, x) \land s \subset e \land v \subset e \land \text{manipulating.food'}(v) \land \text{fin'}(s, e) \land \text{odigesting'}(s)]\]

The event structure in (16) is the same as (10), with the crucial difference being that the agent of the event of manipulating food is not the same as the participant that prospectively digests it. Consider the reading of \textit{khu-l-isy-a} ‘to feed’ in (17).
In (17), the reading is that Wafula is feeding the rice to the child, e.g. by taking the rice and putting it directly into the child’s mouth; crucially, the agent of the manipulation of the food and the prospective digester are distinct. Note that the reading is not that of a periphrastic causative, i.e. where someone caused the child to eat rice. Thus with *ku-l-isya* ‘to eat’ there is no additional causal subevent in the event structure as compared to the event structure of the base verb, and the nature of causation is the same as the non-caused variant *ku-lia* ‘to eat’.

Turning to transitives like *ku-funa* ‘to break’, I assume a periphrastic causation analysis for these verbs where the causative adds a new causer subject (via an additional causal subevent) to the clause. Consider the meaning of the causative morpheme in (18), developed from an analysis of the cognate morpheme *–ish* in Kinyarwanda (Jerro to appear).

\[
(18) \quad [\text{–esy}] := \lambda P \lambda x_1 ... \lambda x_n \lambda z \lambda e_1 ... \lambda e_m[P(x_1 ... x_n, e_1 ... e_m) \land \exists e'[e' \subset e_m \land ag'(e', z) \land init'(e', e)]
\]

Here, the causative morpheme licenses a new causative subevent which precedes the sub-events described by the verb. I assume the denotation in (19) for the verb *ku-funa* ‘to break’, where there is an agent *x* that is linked to the causing event *v* which causes the result *s* of the object *y* being broken.

\[
(19) \quad [\text{ku-funa}] := \lambda x \lambda y \lambda s \lambda v \lambda e[ag'(v, y) \land th'(s, x) \land v \subset e \land s \subset e \land breaking'(v) \land broken'(s) \land fin'(s, e)]
\]
Composing the meaning of the verb *ku-funa* ‘to break’ and that of *–esy* gives (20).

\[
(20) \quad \lambda x \lambda y \lambda z \lambda s \lambda v \lambda e [ag'(v, y) \land th'(s, x) \land v \subset e \land s \subset e \land breaking'(v) \land broken'(s) \land fin'(s, e) \land \exists e'[e' \subset e, \land ag'(e', z) \land init'(e', e)]]
\]

The denotation in (20) corresponds to a sentence like that in (21).

\[\text{(21) a. Wafula a-kha-fun-isy-a bi-kombe o-mw-ana.} \]
\[\text{Wafula 1.SBJ-TNS-break-CAUS-FV 8-cup 1-1-child} \]
\[\text{‘Wafula is causing the child to break the cups.’} \]

Here, there is a causing event \(e'\) in \(e\) that precedes the subevents described by the verb *ku-funa* ‘to break’, as is typical of periphrastic causatives. Thus *ku-l-isy-a* ‘to feed’ and *ku-fun-isy-a* ‘to cause to break’ differ in the nature of causation: with the former, there is no additional causal subevent, and the ditransitive event structure is not derived; with the latter, however, a new causal subevent (and associated causer argument) is added to the event structure, deriving a ditransitive event structure. I propose that it is this difference in how the causative verbs arise which corresponds to the two classes’ distinct symmetry properties.

Because caused ingestive verbs have a non-derived ditransitive event structure, it is expected that other semantically ditransitive verbs should pattern similarly with respect to symmetry. This is borne out with the verb *ku-wa* ‘to give’, which — parallel to caused ingestives — is symmetrical under passivization in (22).\(^{12}\)

\[\text{(22) a. Si-tabu sy-a-∅-ebw-a Wekesa (ne Wafula).} \]
\[\text{7-book 7.SBJ-PST-give-PASS-FV Wekesa by Wafula} \]
\[\text{‘The book was given to Wekesa by Wafula.’} \]

\[\text{b. Wekesa ∅-a-∅-ebw-a si-tabu (ne Wafula).} \]
\[\text{Wekesa 1.SBJ-PST-give-PASS-FV 7-book by Wafula} \]
\[\text{‘Wekesa was given a book by Wafula.’} \]
The symmetrical behavior of *khu-wa* ‘to give’ fits with the analysis of caused ingestives as ditransitive verbs, as these verbs pattern the same as a lexically ditransitive verb in the language.\textsuperscript{13}

5 Conclusion

In this paper, I have presented evidence that in Lubukusu the nature of causation with ingestive verbs is an operation of anti-reflexivization, which is distinct from the general causative operation in the language which adds a wholesale new causal subevent. I proposed that this distinction in the semantics of causation provides a starting point for analyzing the difference of object symmetry. More broadly, I have shown that object symmetry can vary according to verb class, an empirical fact that has not been considered in previous work on object symmetry. Furthermore, verb meaning has been shown to determine the thematic role and syntactic function of other valence-increasing morphemes such as locative applicatives in Kinyarwanda (Jerro 2016a) as well as influence whether a full object or object prefix will appear, such as in the Bantu languages Kinyakyusa (Lusekelo 2012) and Kiluguru (Marten & Ramadhani 2001). Thus the influence of verb meaning on argument realization is an important factor to consider in future work on valency-changing morphology.

Many questions remain, in particular an explanation of what determines the sizable variation in object (a)symmetries across languages. However, what is coming to light from both the present work and other studies is that a comprehensive theory of object symmetry requires an admixture of several interrelated factors in addition to syntax, such as the noun cast of the objects (Morolong & Hyman 1972, Aranovich 2009, Baker et al. 2012), the thematic role of the applied object (Baker 1988, Bresnan & Moshi 1990, Alsina & Mchombo 1993, Ngonyani 1996, McGinnis 2001, McGinnis & Gerdts 2003, Jeong 2007), and — as I argue here — the meaning of the verb that the applicative attaches to. The interrelations
of these different aspects of grammar opens up a rich domain of inquiry for future work.

Notes

1In Jerro (2016b:172-191) I discuss the considerable variation in symmetry facts for Lubukusu and other Bantu languages, in particular: symmetry facts vary across various aspects of grammar, such as (minimally) thematic role of the applied object, the diagnostic in question, and the animacy of the objects. In the present paper, I take as a starting point that it is not clear how the different aspects of symmetry interact to determine symmetry in a given clause, as previous accounts have not addressed the breadth of cross-linguistic variation. The focus of the present work is to show that there is an additional aspect that needs to be taken in to consideration (i.e. verb meaning) as future work proceeds in understanding how various aspects of grammar conspire to determine the symmetricality facts for a particular language.

2The data presented here were elicited by the author in Eldoret, Kenya in July - September 2013 and in Bungoma, Kenya in August 2015.

3The stem of the verb *khu-lia* ‘to eat’ in (5) is modified for phonological reasons.

4Baker et al. (2012) show that when the causee is a local pronoun (i.e. first- and second-person), the result is asymmetry, despite symmetry elsewhere. All the objects discussed in the present paper are intentionally third-person singular in order to control for person effects, and thus the only difference between (2) on the one hand and (4) and (6) on the other is the class of verb. Because verb class is not held constant in Baker et al. (2012), it is difficult to say how their findings coincide with the current proposal. Ultimately, I posit that both person of the object NPs as well as verb class should be considered in future work as potential factors in determining object symmetry in various languages.

5I treat all subevents as events; I do not deal directly with states here. I assume that the event variables are bound off at a later stage of the derivation.

6The conclusions in this paper are drawn from two ingestive verbs in Lubukusu: *khu-lia* ‘to eat’ and *khu-nywa* ‘to drink’. I leave the question of whether the observed pattern with symmetry arises with figurative ingestive verbs such as _learn_ and inherent reflexives such as _wash_ (intr.) to future work.

7The truth conditions of _khu-lia_ ‘to eat’ in Lubukusu do not entail that the food be digested, which is indicated formally with the possibility modal operator ○. For example, the digestion described in (11a) is cancellable, e.g. in a context where the food is eaten but subsequently thrown up.

8Finding an appropriate pragmatic context for ingestive verbs is somewhat difficult due to the fact that the
edible object must be eaten twice, an event which does not happen in real-world contexts. The context in (12) is that the rice is cursed so that it is regenerated to appear in its original state. Krejci (2012) achieves a similar effect by the use of a video game context where players in the game eat coins that are regenerated.

9 In English ‘by oneself’ is ambiguous between ‘alone’ and ‘without external help’. In Lubukusu, on the other hand, there are two separate words: yeng’ene ‘alone’ and omwene ‘without external help’. The latter is crucially what is intended for diagnosing whether causation is present in the meaning of a verb.

10 There are several ways of capturing antireflexivization compositionally, which is some operation that takes a reflexive verb as input and outputs the same event structure but with non-co-identified arguments. I assume, however, that in the case of ingestive verbs in Lubukusu, the causative and noncausative verbs are in a lexical paradigmatic relationship (see Cooper 1976 and Jerro 2013 for discussion of lexical causatives in Bantu), and so I leave a compositional analysis of antireflexivization aside here. Furthermore, I assume that the lexicalized form in (16) blocks application of the productive causative in (18), cp. the blocking relation between the causatives sas and sase in Japanese (Miyagawa 1984) and the blocking of causative readings with particular verbs in Kinyarwanda (Jerro to appear).

11 Unlike syncretistic –ish in Kinyarwanda, the causal subevent introduced by the causative morpheme –esy in Lubukusu must be the initial subevent, indicated here with the relation init’, which states that the first argument is the initial subevent in the event e. In the framework adopted from Jerro (to appear), the final argument to be picked up is mapped to subject and is the ultimate causer (see also Wunderlich 1997).

12 The verbal root is deleted in the passive in (22) for phonological reasons.

13 To my knowledge, khu-wa ‘to give’ is the only lexical ditransitive in the language, as also noted in Baker et al. (2012:57, fn.5). Should any other lexical ditransitive verbs be found, however, the expectation given the current analysis is that the objects of such a verb would also be symmetrical.

References


